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FORMALITIES LETTER



OC090000005077449

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/512,620	02/25/2000	Harlan Sexton	50277-403

McDermott Will & Emery
600 13th Street NW
Washington, DC 20005-3096

Date Mailed: 04/26/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 820.**

The following item(s) appear to have been **omitted** from the application:

- Figure(s) 1 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) r (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of

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40FZ:105 130.00 CH

4/26/00 11:10 AM

deposit of the application papers in the PTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

SECTOR \$



Docket No.: 50277-403

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
Harlan SEXTON, et al. :
Serial No.: 09/512,620 : Group Art Unit: 2755
Filed: February 25, 2000 : Examiner:
For: USING A VIRTUAL MACHINE INSTANCE AS THE BASIC UNIT OF USER
EXECUTION IN A SERVER ENVIRONMENT

**PETITION AND RESPONSE TO NOTICE
OF MISSING PARTS OF APPLICATION**

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice of Missing Parts of Application dated April 26, 2000, submitted
herewith are the following documents for filing in the above-referenced application:

1. Declaration (which is in compliance with 37 CFR 1.63)
2. Statutory Basic filing fee of \$690.00
3. Late Filing Fee Surcharge of \$130.00
4. Assignment

The Notice of Missing Parts of Application indicates Figure 1 was omitted from the
application as filed. Submitted herewith is a copy of the PTO post card, which evidences this
application was filed with 3 Formal Drawings, inclusive of Figure 1. Also enclosed is a copy of

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the drawings as filed. Since all figures were filed with the application as filed, it is believed that no petition fee is due for this application and the petition fee should be refunded.

Please charge Deposit Account No. 150635 in the amount of \$820.00. To the extent necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including petition or extension of time fees, to Deposit Account 150635 and please credit any excess fees to such deposit account.

Respectfully submitted,

MCDERMOTT, WILL & EMERY



Arthur J. Steiner
Registration No. 26,106

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Washington, DC 20005-3096
(202) 756-8000 SCC:dtb
Date: June 26, 2000
Facsimile: (202) 756-8087

Applicant: Harlan Sexton et al. Docket No. 50277-403
Title/Mark: USING A VIRTUAL MACHINE... Serial/Reg./Patent No. NOT yet assigned
Date Sent: 2/25/00 ☐ Hand Carried ☐ 1st-Class Mail ☐ Cert. of Mailing ☐ Express Mail No. _____
☒ Transmittal Letter
☐ Utility/Design/Continuation/CIP/FWC/Divisional/PCT
☐ Other: _____
 22 pages of Specification
 5 pages of Claims
 1 pages of Abstract
 3 pages of Formal/Informal Drawings
☐ Information Disclosure Statement
 Form PTO 1449
 _____ copies of cited references
☐ Preliminary Amendment
☐ Response to Missing Parts Notice
☐ Certified Copy of Priority Doc.
☐ Claim for Convention Priority
☐ Verified Statement of Small Entity Status
☐ Transmittal of Issue Fee: Form PTO 856 08/25/00 SC
☐ Maintenance Fee for _____ years after grant
☐ Notice of Appeal ☐ Appeal Brief
☐ Recordation of _____ Patent _____ Trademark: Assignments/Security Agreements/
☐ Response/Amendment to Office Action of _____ ☐ Petition to Commissioner for _____
☐ Trademark/Service Mark/Intent-To-Use Application
☐ Amendment to Allege Use ☐ Statement of Us
☐ Formal/Informal/Substitute Drawing Sheet
 _____ (no. of) Substitute/Specimens
☐ Continued Use Affidavit/Declaration (Section 8 & 15)
☐ Notice of Opposition/Answer to _____
☐ Ex Parte Appeal
☐ Petition for Cancellation/ Answer to _____
☐ Renewal of Reg. No. _____
☐ Combined Declaration/Power of Attorney
☐ Letter of Protest
☐ Request for _____ day/month Extension of Time

Other: _____

Check for \$ _____ ☐ Total Deposit Account charge for \$ 0 Attorney: SCC 42.48 / NUV

☐ CHI ☒ WASH

CLO2 Descrip.: 0

THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS FOR ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.